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Substitute for Form 776 776-TO INFORMATION DISCLOSURE STATEMENT BY APPLICANT Date Submitted: February 28, 2002 <i>(use as many sheets as necessary)</i>				Complete if Known <table border="1"> <tr> <td>Application Number</td> <td>09/937,295</td> </tr> <tr> <td>Filing Date</td> <td>11/29/2001</td> </tr> <tr> <td>First Named Inventor</td> <td>Mark UDEN</td> </tr> <tr> <td>Group Art Unit</td> <td>1646</td> </tr> <tr> <td>Examiner Name</td> <td>Unassigned</td> </tr> <tr> <td>Attorney Docket Number</td> <td>078883-0134</td> </tr> </table>		Application Number	09/937,295	Filing Date	11/29/2001	First Named Inventor	Mark UDEN	Group Art Unit	1646	Examiner Name	Unassigned	Attorney Docket Number	078883-0134
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First Named Inventor	Mark UDEN																
Group Art Unit	1646																
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Sheet	1	of	3	<div>RECEIVED</div> <div>MAR 01 2002</div> <div>TECH CENTER 1600/2900</div>													

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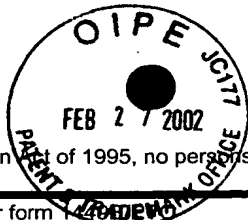
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Application Number	09/937,295
Date Submitted: February 28, 2002		Filing Date	11/29/2001
(use as many sheets as necessary)		First Named Inventor	Mark UDEN
Sheet 2 of 3		Group Art Unit	1646
		Examiner Name	Unassigned
		Attorney Docket Number	078883-0134

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Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶
QW	A15	PURCELL, DAMIAN et al., "Alternative Splicing of Human Immunodeficiency Virus Type 1 mRNA Modulates Viral Protein Expression, Replication, and Infectivity, 1993, <u>J. of Virology</u> 67:6365-6378	Y
	A16	BRODY, BRIAN et al., "Postassembly Cleavage of a Retroviral Glycoprotein Cytoplasmic Domain Removes a Necessary Incorporation Signal and Activates Fusion Activity", 1994, <u>J. of Virology</u> 68:4620-4627	Y
	A17	REIN, ALAN et al., "Function of the Cytoplasmic Domain of a Retroviral Transmembrane Protein: p15E-p2E Cleavage Activates the Membrane Fusion Capability of the Murine Leukemia Virus Env Protein, 1994, <u>J. of Virology</u> , 68:1773-1781	Y
	A18	MAULIK, SUNIL et al., "Molecular Biotechnology: Therapeutic Applications and Strategies", 1997, Wiley-Liss, Inc., pp.45	Y
	A19	DOUGHERTY, JOSEPH P. et al., "A promoterless retroviral vector indicates that there are sequences in U3 required for 3' RNA processing", 1987, <u>Proc. Natl. Acad. Sci.</u> , 84:1197-1201	Y
	A20	HAWLEY, ROBERT et al., "Handicapped retroviral vectors efficiently transduce foreign genes into hematopoietic stem cells", 1987, <u>Proc. Natl. Acad. Sci.</u> , 84:2406-2410	Y
	A21	Yee, JIING-KUAN et al., "A general method for the generation of high-titer, pantropic retroviral vectors: Highly efficient infection of primary hepatocytes", 1987, <u>Proc. Natl. Acad. Sci.</u> , 91:9564-9568	Y
	A22	JOLLY, DOUGLAS J. et al., "Elements in the terminal repeat of murine retroviruses enhance stable transformation by thymidine kinase gene", 1983, <u>Nucleic Acids Research</u> , 11:1855-1872	Y
	A23	EMERMAN, MICHAEL et al., "Genes with Promoters in Retrovirus Vectors Can Be Independently Suppressed by an Epigenetic Mechanism", 1984, <u>Cell</u> , 39:449-467	Y
	A24	HERMAN, STEVEN A. et al., "Efficient Packaging of Readthrough RNA in ALV: Implications for Oncogene Transduction", 1987, <u>Science</u> , 236:845-848	Y
	A25	MILLER, DUSTY A. et al., "A transmissible retrovirus expressing human hypoxanthine phosphoribosyltransferase (HPRT): Gene transfer into cells obtained from humans deficient in HPRT", 1983, <u>Proc. Natl. Acad. Sci.</u> , 80:4709-4713	Y
	A26	MILLER, DUSTY A. et al., "Improved Retroviral Vectors for Gene Transfer and Expression", 1989, <u>BioTechniques</u> , 7:980-990	Y
	A27	KRALL, WJ et al, "Increased levels of spliced RNA account for augmented expression from the MFG retroviral vector in hematopoietic cells", 1996, <u>Gene Therapy</u> , 3:37-48	Y
QW	A28	OVERELL, ROBERT W. et al., "Stably Transmitted Triple-Promoter Retroviral Vectors and Their Use in Transformation of Primary Mammalian Cells", <u>Molecular and Cellular Biology</u> , 8:1803-1808	Y

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

Date Submitted: February 28, 2002

(use as many sheets as necessary)

Sheet 3 of 3

Complete if Known

Application Number 09/937,295
Filing Date 11/29/2001 MAR 01 2002
First Named Inventor Mark UDEN
Group Art Unit 1646 1636 TECH CENTER 1600/2900
Examiner Name Unassigned
Attorney Docket Number 078883-0134

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶
QN	A29	CEPKO, CONSTANCE L. et al., "Construction and Applications of a Highly Transmissible Murine Retrovirus Shuttle Vector", 1984, <u>Cell</u> , 37:1053-1062	Y
	A30	KORMAN, ALAN J. et al., "Expression of human class II major histocompatibility complex antigens using retrovirus vectors", 1987, <u>Proc. Natl. Acad. Sci.</u> , 84:2150-2154	Y
	A31	DRANOFF, GLENN et al., "Vaccination with irradiated tumor cells engineered to secrete murine granulocyte-macrophage colony-stimulating factor stimulates potent, specific, and long-lasting anti-tumor immunity", 1993, <u>Proc. Natl. Acad. Sci.</u> , 19:3979-3986	Y
	A32	LEE, FRANK et al., "Glucocorticoids regulate expression of dihydrofolate reductase cDNA in mouse mammary tumour virus chimaeric plasmids", 1981, <u>Nature</u> , 294:228-232	Y
	A33	LEWIS, JOHN A. et al., "Structure and Expression of the Chinese Hamster Thymidine Kinase Gene", 1986, <u>Molecular and Cellular Biology</u> , 6:1998-2010	Y
	A34	CHAPMAN, BARBARA S. et al., "Effect of intron A from human cytomegalovirus (Towne) immediate-early gene on heterologous expression in mammalian cells", 1991, <u>Nucleic Acids Research</u> , 19:3979-3986	Y
	A35	BENDER, MICHAEL A. et al., "Evidence that the Packaging Signal of Moloney Murine Leukemia Virus Extends into the gag Region", 1987, <u>J. of Virology</u> , 61:1639-1646	Y
	A36	NALDINI, LUIGI et al., "In Vivo Gene Delivery and Stable Transduction of Nondividing Cells by a Lentiviral Vector", 1996, <u>Science</u> , 272:263-267	Y
	A37	KIM, MARRY V. et al., "Minimal Requirement for a Lentivirus Vector Based on Human Immunodeficiency Virus Type 1", 1998, <u>J. of Virology</u> , 72:811-816	Y
	A38	POESCHLLA, ERIC M. et al., "Efficient transduction of nondividing human cells by feline immunodeficiency virus lentiviral vectors", 1998, <u>Nature Medical</u> , 4:354-357	Y
	A39	FENG, MEIZHEN et al., "Stable in vivo gene transduction via a novel adenoviral/retroviral chimeric vector", 1997, <u>Nature Biotechnology</u> , 15:866	Y
	A40	AEBI, M. et al., "Precision and orderliness in splicing", <u>Trends in Genetics</u> , 3:102-107	Y
QN	A41	BOTHWELL, ALFRED L. et al., "Heavy Chain Variable Region Contribution to the NPb Family of Antibodies: Somatic Mutation Evident in a y2a Variable Region", 1981, <u>Cell</u> , 24:625-637	Y

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Sheet 1 of 1

Based on Form PTO-1449 (3/90)				ATTY. DOCKET NO. 674523-2020		SERIAL NO. 09/937,295		
LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary)				APPLICANT Uden, et al.				
				FILING DATE November 29, 2001		GROUP 1636		
U.S. PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
QW	AA	6,544,771	04/08/03	Riviere et al.				
	AB							
	AC							
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FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
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